



PATENT  
Attorney Docket No: 29479/500NSCA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Roberta K. Yoshida  
Anna B. Kootstra

Serial No.: 09/939,408

Filed: August 24, 2001

For: "Phenylalanine Ammonia Lyase Polypeptide and Polynucleotide Sequences and Methods of Obtaining and Using Same"

## Group Art Unit: unassigned

Examiner: unassigned



**TRANSMITTAL ACCOMPANYING PRELIMINARY AMENDMENT AND  
STATEMENT UNDER 37 C.F.R § 1.825 WITH SUBSTITUTE COPY OF  
SEQUENCE LISTING**

Assistant Commissioner for Patents  
Washington, DC 20231

Siri

Applicant(s) respond to the notice to comply with Sequence Rules in the Sequence Listing and in support thereof file herewith:

- (1) Preliminary Amendment and Statement Under 37 C.F.R § 1.825 with Substitute Copy of Sequence Listing;
  - (2) Copy of Notice to Comply;
  - (3) Paper copy of substitute Sequence Listing pages 1-70; and
  - (4) Diskette containing computer readable copy of substitute Sequence Listing pages 1-70.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

By:

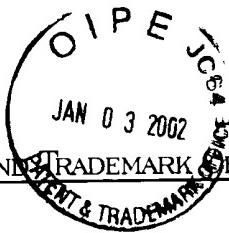
Audrey L. Bartnicki  
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Reg. No. 40,499

December 19, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,408	08/24/2001	Roberta K. Yoshida	29479.500NSCA

## CONFIRMATION NO. 5670

04743  
MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN  
6300 SEARS TOWER  
233 SOUTH WACKER DRIVE  
CHICAGO, IL 60606-6402

## FORMALITIES LETTER



\*OC00000006975193\*

Date Mailed: 10/26/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Docketed 10/26/01

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice MUST be returned with the reply.*

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